

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE SOUTHERN DISTRICT OF NEW YORK**

In re:

**LEHMAN BROTHERS HOLDINGS INC**

**Lehman Brothers Commodity Services, Inc.**

("the Debtors")

Chapter 11

Case No. 08-13555 (JMP) Jointly  
Administered

Case No. 08-13885

Claim No.: 29997

**NOTICE OF TRANSFER OF CLAIM PURSUANT TO F.R.B.P. RULE 3001 (E)(2) FOR FILED CREDITOR,  
AES EASTERN ENERGY L.P., IN THE AMOUNT OF \$1,004,768.00, TO BLUE ANGEL CLAIMS, LLC**

**To Transferor:** AES Eastern Energy L.P.  
Attention: Tom Spencer  
130 East Seneca Street, Suite 505  
Ithaca, NY 14850

PLEASE TAKE NOTICE that the transfer of \$1,004,768.00 of the above-captioned general unsecured claim has been transferred to:

**Transferee:** Blue Angel Claims, LLC  
Attn: Anthony Yoseloff, Manager  
65 East 55<sup>th</sup> Street, 19<sup>th</sup> Floor  
New York, NY 10022

The evidence of transfer of claim is attached hereto. A copy of the Proof of Claim and a copy of the Claims Agent website listing the claim are attached.

If your objection is not timely filed, the transferee will be substituted in your place as the claimant on our records in this proceeding.

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(FOR CLERK'S OFFICE USE ONLY):

This notice was mailed to the first named party, by first class mail, postage prepaid on \_\_\_\_\_, 2009.

INTERNAL CONTROL NO. \_\_\_\_\_

Copy: (check) Claims Agent \_\_\_\_ Transferee \_\_\_\_ Debtors's Attorney \_\_\_\_

\_\_\_\_\_  
Deputy Clerk

**EXHIBIT C**

**FORM OF EVIDENCE OF TRANSFER OF CLAIM**


**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, AES Eastern Energy, L.P. ("Seller") hereby unconditionally and irrevocably sells, transfers and assigns to Blue Angel Claims LLC ("Purchaser") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Commodities Services Inc. (the "Debtor"), the debtor in Case No. 08-13885 pending in the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") and the relevant portion of any and all proofs of claim (No. 29997) filed by Seller with the Bankruptcy Court in respect of the foregoing claim.

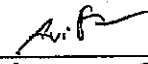
Seller hereby waives any objection to the transfer of the claim to Purchaser on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Seller acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Seller transferring to Purchaser the foregoing claim, recognizing Purchaser as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Purchaser.


IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed  
this \_\_\_\_ day of May 2010.

AES EASTERN ENERGY, L.P.

By:   
Name: Peter S. Novak  
Title: President

BLUE ANGEL CLAIMS LLC

By:   
Name: Avram Friedman  
Title: Manager

<b>United States Bankruptcy Court/Southern District of New York</b> Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		<b>PROOF OF CLAIM</b>  Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP)      0000029997 	
In Re: Lehman Brothers Holdings Inc., et al. Debtors.	Chapter 11 Case No. 08-13555 (JMP). (Jointly Administered)	<b>THIS SPACE IS FOR COURT USE ONLY</b>	
Name of Debtor Against Which Claim is Held Lehman Brothers Commodity Services Inc.	Case No. of Debtor 08-13885 (JMP)		
<b>NOTE:</b> This form should not be used to make a claim for an administrative expense arising after the commencement of the case. A request for payment of an administrative expense may be filed pursuant to 11 U.S.C. § 503. Additionally, this form should not be used to make a claim for Lehman Programs Securities (See definition on reverse side.)		<b>THIS SPACE IS FOR COURT USE ONLY</b>	
Name and address of Creditor (and name and address where notices should be sent if different from Creditor)			
AES EASTERN ENERGY L.P. 130 E. Sonoma St., Suite 505 Itasca, NY 14880 Attn: Tom Spencer		NOTICE ADDRESS: TROUTMAN SANDERS LLP The Chrysler Building 405 Lexington Avenue New York, NY 10174 Attn: Hollace T. Cohen, Esq. Tel: (212) 704-6000 Email: hollace.cohen@troutmansanders.com	
Telephone number: (703) 682-6527      Email Address: tom.spencer@AES.com		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.  Court Claim Number: _____ (If known)  Filed on: _____	
Name and address where payment should be sent (if different from above) AES CORPORATION 4300 Wilson Boulevard Arlington, VA 22203 Attn: Dirk Roszkiewicz		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.  <input type="checkbox"/> Check this box if you are the debtor or trustee in this case.	
Telephone number: (703) 682-5810      Email Address: dirk.roszkiewicz@AES.com		5. Amount of Claim Entitled to Priority under 11 U.S.C. §507(a). If any portion of your claim falls in one of the following categories, check the box and state the amount.  Specify the priority of the claim:  <input type="checkbox"/> Domestic support obligations under 11 U.S.C. § 507(a)(1)(A) or (a)(1)(B). <input type="checkbox"/> Wages, salaries or commissions (up to \$10,950), earned within 180 days before filing of the bankruptcy petition or cessation of the debtor's business, whichever is earlier - 11 U.S.C. § 507(a)(4). <input type="checkbox"/> Contributions to an employee benefit plan - 11 U.S.C. § 507(a)(5). <input type="checkbox"/> Up to \$2,425 of deposits toward purchase, lease, or rental of property or services for personal, family, or household use - 11 U.S.C. § 507(a)(7). <input type="checkbox"/> Taxes or penalties owed to governmental units - 11 U.S.C. § 507(a)(8). <input type="checkbox"/> Other - Specify applicable paragraph of 11 U.S.C. § 507(a)(____).  Amount entitled to priority: \$ _____	
1. Amount of Claim as of Date Case Filed: \$ 1,004,768.00 plus interest (See Attached) If all or part of your claim is secured, complete Item 4 below; however, if all of your claim is unsecured, do not complete item 4. If all or part of your claim is entitled to priority, complete Item 5. If all or part of your claim qualifies as an Administrative Expense under 11 U.S.C. §503(b)(9), complete Item 6. <input checked="" type="checkbox"/> Check this box if all or part of your claim is based on a Derivative Contract.* <input type="checkbox"/> Check this box if all or part of your claim is based on a Guarantee.* *IF YOUR CLAIM IS BASED ON AMOUNTS OWED PURSUANT TO EITHER A DERIVATIVE CONTRACT OR A GUARANTEE OF A DEBTOR, YOU MUST ALSO LOG ON TO <a href="http://www.lehman-claims.com">http://www.lehman-claims.com</a> AND FOLLOW THE DIRECTIONS TO COMPLETE THE APPLICABLE QUESTIONNAIRE AND UPLOAD SUPPORTING DOCUMENTATION OR YOUR CLAIM WILL BE DISALLOWED. <input type="checkbox"/> Check this box if claim includes interest or other charges in addition to the principal amount of the claim. Attach itemized statement of interest or additional charges. Attach itemized statement of interest or charges to this form or on <a href="http://www.lehman-claims.com">http://www.lehman-claims.com</a> if claim is based on a Derivative Contract or Guarantee.			
2. Basis for Claim: Terminated Derivative Contracts - ISDA (See Attached) (See instruction #2 on reverse side.)		FOR COURT USE ONLY  <div style="border: 2px solid black; padding: 10px; text-align: center;"> <b>FILED / RECEIVED</b>   <b>SEP 22 2009</b>   <b>EPIQ BANKRUPTCY SOLUTIONS, LLC</b> </div>	
3. Last four digits of any number by which creditor identifies debtor: _____ 3a. Debtor may have scheduled account as: _____ (See instruction #3a on reverse side.)			
4. Secured Claim (See instruction #4 on reverse side.) Check the appropriate box if your claim is secured by a lien on property or a right of setoff and provide the requested information. Nature of property or right of setoff: <input type="checkbox"/> Real Estate <input type="checkbox"/> Motor Vehicle <input type="checkbox"/> Other  Describe: _____  Value of Property: \$ _____ Annual Interest Rate _____ % Amount of accretage and other charges as of time case filed included in secured claim, if any: \$ _____ Basis for perfection: _____  Amount of Secured Claim: \$ _____ Amount Unsecured: \$ _____		6. Amount of Claim that qualifies as an Administrative Expense under 11 U.S.C. §503(b)(9): \$ _____ (See instruction #6 on reverse side.)	
7. Credits: The amount of all payments on this claim has been credited for the purpose of making this proof of claim. 8. Documents: Attach redacted copies of any documents that support the claim, such as promissory notes, purchase orders, invoices, itemized statements of running accounts, contracts, judgments, mortgages and security agreements. Attach redacted copies of documents providing evidence of perfection of a security interest. (See definition of "redacted" on reverse side.) If the documents are voluminous, attach a summary. <b>DO NOT SEND ORIGINAL DOCUMENTS. ATTACHED DOCUMENTS MAY BE DESTROYED AFTER SCANNING.</b> If the documents are not available, please explain: _____			
Date: 9/21/09	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. KEVIN PIERCE, Vice President  (See Creditor Address Above)		
Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571.			

Claim Question? Call: 646 282 2400

Guest



debtorMatrix

Lehman Brothers Holdings Inc. (Chapter 11)  
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Criteria

<b>Claim Number</b>	<b>Creditor Name</b>	<b>Scope</b>
29997	Name Starts With <input type="text"/>	Claims and schedules <input type="text"/>
<b>Schedule Number</b>	<b>Amount</b>	
<input type="text"/>	Total Claim Value <input type="text"/> Equals <input type="text"/>	
<b>Claim Date Range</b>		
<input type="text"/> to <input type="text"/>		

Debtor

Order By:  **Creditor Name**

Results Per Page:  **50**

Results

[Expand All](#)

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Claim #	Schedule #	Creditor Name	Date	Total Claim Value	
29997	885000100	AES EASTERN ENERGY L.P. ATTN: TOM SPENCER 130 EAST SENECA STREET, SUITE 505 ITHACA, NY 14850	9/22/2009 Claimed Unsecured:	\$1,004,768.00 \$1,004,768.00	<a href="#">Image</a>
Debtor: Lehman Brothers Commodity Services Inc.			Remarks: CLAIMED PARTIALLY UNLIQUIDATED		

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